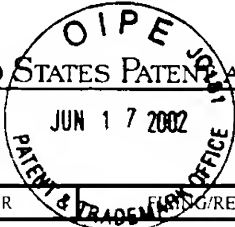




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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/066,319	02/01/2002	Brian D. Ross	11203-007001

## RECEIVED

APR 19 2002

CONFIRMATION NO. 9799

GREGORY P. EINHORN  
Fish & Richardson P.C.  
Suite 500  
4350 La Jolla Village Drive  
San Diego, CA 92122

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### FORMALITIES LETTER



\*OC00000007861079\*

MISSING PARTS  
4/12/02  
6/12/02  
10/12/02  
P 47255250

Date Mailed: 04/12/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

6/12/02  
10/12/02  
P 47255250

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$606.
  - \$396 for 44 total claims over 20.
  - \$210 for 5 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1041.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Practice Systems

47255250  
4/12/02  
6/12/02  
10/12/02  
P 47255250

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*



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**ATTACHMENT TO NOTICE TO COMPLY WITH  
REQUIREMENTS...SEQUENCE DISCLOSURES"**

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be submitted using one of the following methods:

1. Electronically submitted through EFS-Bio  
(<http://www.uspto.gov/ebs/efs/downloads/documents.htm>, EFS Submission User Manual - ePAVE)
2. Mailed to:  
U.S. Patent and Trademark Office  
Box Sequence, P.O. Box 2327  
Arlington, VA 22202
3. Mailed by Federal Express, United Parcel Service or other delivery service to:  
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Customer Window, Box Sequence  
Crystal Plaza Two, Lobby, Room 1B03  
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4. Hand Carried directly to the Customer Window at:  
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Attorney's Docket No.: 11203-007001 2207

*MPJ*  
*Ex Se*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ross, et al.                      Art Unit : Unknown  
Serial No. : 10/066,319                      Examiner : Unknown  
Filed : February 1, 2002  
Title : COMPOSITIONS AND METHODS FOR REPORTING OF PROTEASE  
ACTIVITY WITHIN THE SECRETORY PATHWAY

**BOX MISSING PARTS**

U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 U.S.C. §1.53(b) mailed April 12, 2002 (copy enclosed), applicants as a small entity submit herewith the following:

- ☒ Payment of the basic filing fee of \$370;
- ☒ Payment of additional claim fees of \$606;
- ☒ Payment of the surcharge of \$65 for late filing of the basic filing fee and/or declaration;
- ☒ A check in the total amount of \$1,041 is attached;
- ☒ A Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;
- ☒ A Preliminary Amendment (4 pages);
- ☒ A Verified Statement under 37 CFR §1.821(f); and

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202.

Date of Deposit

*6/12/02*

Signature

*Jeanne Armour Rice*  
*Jeanne Armour Rice*

Typed or Printed Name of Person Signing Certificate

Applicant : Ross, et al.  
Serial No. : 10/066,319  
Filed : February 1, 2002  
Page : 2

Attorney's Docket No.: 11203-007001 2207

☒ A paper copy (4 pages) and computer-readable copy (1 diskette) of the Sequence Listing.

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any charges or credits to Deposit Account No. 06-1050, referencing attorney docket number 11203-007001.

Respectfully submitted,

Date:

June 12, 2002

*Gregory P. Einhorn* 44.830

Gregory P. Einhorn  
Reg. No. 38,440

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